

Meeting No. 2/2020 of the Library Advisory Committee will be held on Monday 22 March 2021 from 10:00 am- 11:00am Building 15, Chifley Library, Rm 2.11, Graneek Room

Apologies and enquiries to:

Secretary, Library Advisory T: 6125 2981

Committee. Margaret Prescott. E: margaret.prescott@anu.edu.au

Agenda Summary

_	rocedural items	To be received	
1	Announcements and apologies Apologies:	To be received	
2	Welcome to new members: Minutes of meeting 2/2020 12 October 2020	For decision	2
3	Matters arising from the minutes and action items	For information	
Part 2- Re	eports		
4	Scholarly Information Services Annual report 2020	For discussion	
5	Library spaces and storage	For discussion	
6	SIS Business planning 2021	For discussion	
7	Digitisation plan 2021	For information	
8	Progress report on replacement of titles lost in the 2018 flood	For information	
9	ANU Library report	For information	
10	ANU Archives report	For information	
11	Digitisation report	For information	

Part 3- Items of other business

12 Any other business For discussion



Minutes

COMMITTEE University Library Advisory Committee

MEETING NO. 2 - 2020

DATE / TIME Monday 12 October, 11:00 – 12:00pm

VENUE Graneek Room, Chifley Library and Zoom

ATTENDING Chair: Professor Paul Pickering, ANU College of Arts & Social Sciences

Members:

Associate Professor Keturah Whitford, ANU College of Business & Economics

Roxanne Missingham, University Librarian

Professor Ian Campbell, Research School of Earth Sciences

Professor Raghbendra Jha, ANU College of Asia & the Pacific

Elena Sheard, ANU Postgraduate and Research Students' Association (PARSA)

Dr Cathy Honge Gong, ANU Centre for Research on Ageing, Health &

Wellbeing

Dr Darryn Jensen, ANU College of Law

Lachlan Day, ANU Student Association (ANUSA)

Margaret Prescott, Secretary

APOLOGIES Lachlan Day, ANUSA

Utsav Gupta, ANU Postgraduate and Research Students' Association (PARSA)

Dr Lexing Xie, ANU College of Engineering & Computer Science

OBSERVERS Heather Jenks, Associate Director, Library Services

Kathryn Dan, University Archivist

Part 1. Procedural items

1. Apologies and announcements

Professor Pickering welcomed committee members. No apologies were received. He thanked Lachlan Day and Utsav Gupta for their service, noting that this was the last meeting they remained as members of the committee

2. Minutes

The minutes of the meeting of Friday 5th June 2020 were approved with no corrections.

3. Matters arising

The Committee noted actions against the matters arising

Action ID	Description	Responsibility	Action
Action 1.	Chair to write to the Vice Chancellor and Chief Operating Officer to stress the importance of ensuring that the insurance funds are expended on collection replacement of the material lost in the flood and the importance of fulfilling the commitment to completely replace the collection.	Chair/University Librarian	Completed
Action 2.	A summary of the approach to savings be provided to all members for consultation within colleges.	Chair/University Librarian	Completed
Action 3.	Encourage development of the single collection store as a "shovel ready" proposal	Chair/University Librarian	Ongoing – Committee to reconfirm support
Action 4.	Next meeting September/October or earlier if required		Completed

Part 2. Reports

4. Rethinking services for hybrid teaching and support during COVID-19

Ms Missingham spoke to the report noting the points listed:

Innovations with covid-19

- Collection services from closed libraries
- Increased loan limit to 100 for students and staff
- Digitised material on demand
- Increased education use of ANU Press titles

- Reshaping physical spaces new space for students opened in Hancock Library basement and rooms reorganised
- Exam support (new)
- Support for SharePoint and Teams (with decommissioning of Alliance).

Future focus on providing client services and building digital collection in line with 2020 feedback. The Library is seeking consultation with academics and students to further define 2021 challenges and are looking to identify speakers to talk to our staff, sharing their experiences and reflecting on the changes in the teaching environment.

Issues raised during the meeting included:

- International students who are solely reliant on digital content and access;
- Courses that miss a digital specific format and content (CASS has created a sub-committee to address these types of issues within this College);
- Changes in study/work/life balance;
- Need to address access to materials where fieldwork is not currently viable;
- Mental health for students with increased challenges of a hybrid format of learning. Coping
 with changes and adapting to a lack of personal interaction can be increasingly stressful.
 Insufficient study rooms with access to Zoom/Teams etc facilities to enable interaction albeit
 online particularly for small groups.

Resolution

The Library Advisory Committee noted the report and committee will assist to provide contacts for discussions.

5. Update on Chifley Flood collection

Ms Missingham spoke to the report:

- Over 21% of the lost collection has now been replaced
- Insurance settled
- Gobi and other suppliers are working with us to locate replacement materials but have been effected by Covid-19 restrictions.
- Donations of some larger collections including from Philosophy and Demography
- Replacement of such a huge amount of material has revised thinking on access to digitisation.
- ACTION let us know if you have materials that you need for teaching. If the items cannot be located, we will undertake discussions to find a resolution.
- Continually seeking replacements in both formats
- Copyright there will shortly be further amendments to the Copyright legislation. It is hoped
 that these may free up access to more materials. Objections are expected from the
 Copyright Agency and urging the ANU to formally lobby the government would be useful.

Resolution

The Library Advisory Committee noted the report and support of copyright legislation modernisation.

6. Financial Position

Ms Missingham spoke to the report noting that after reviewing the statistics from 2015 - 19 and Q1-Q3 2020 there are several areas of major change including:

- Library opening hours and staffing the staffed hours for the libraries have reduced to 9-5
 Monday to Friday with JB Chifley and WK Hancock libraries open 24 x 7 with frequent
 security walk throughs
- ANU Press planning is for a reduction in the number of titles published in 2021
- Library structure consultation has commenced on a two stream approach Collection
 Access and Discovery and Client (information) services rather than four branch managers
- Records management the reduction in staffing requires greater automated solutions and is resulting in longer response time and reduced services
- Digital literacy training online and has been integration into library
- Subscriptions and purchasing of library material is being closely reviewed with a view to a reduction of approximately 17% in 2020/21. A list of serials cancelled in 2021 is attached. See collection cancellation section for details.

Review for 2021:

- Subscription cancellations and efficiencies in processing will need to provide approximately \$1.6 mil in savings.
- Some technological development is required to support efficiencies in reference/research support and records management. Discussions have commenced with ITS and the Chief Operating Officer on these needs
- We will seek assessment through survey of students in 2021 Insync survey (normally every 2 years, deferred in 2020)
- Streamlining processes will be a major focus
- Hours of opening for the Hume Print Repository of Art & Music Library (service point) will be reviewed.

The Library collections are vital for education and research. Cancellations are very significant issues and a paper outlining the general approach, criteria (consistent with the Library's Collection policy and related documents) and the consultation process for cancellations/reductions in purchasing will be made available to all staff through a special website.

A proposal was suggested and supported by the committee to raise the issue with Academic Board and Council to seek an additional funding allocation to reduce the collection cancellations.

Resolution

The Library Advisory Committee noted the report and recommended preparation of a paper for Academic Board to explore possible funding allocation for the collection.

7. Open Access

Ms Missingham spoke to the report.

Resolution

The Library Advisory Committee noted the report and will consider any suggestions for digitisation.

8. ANU Library report

Ms Jenks spoke to the report.

Resolution

The Library Advisory Committee noted the report.

9. ANU Archives report

Ms Dan spoke to the report.

Resolution

The Library Advisory Committee noted the report

10. ANU Press report

Ms Missingham spoke to the report:

Resolution

The Library Advisory Committee noted the report

Part 3. Other business

• Proposed three meetings instead of two for 2021 to allow for the ongoing essential changes to be discussed. Dates to be advised.

Item 3 – Report on matters arising and actions not covered elsewhere

Action ID	Description	Responsibility	Action
Action 1.	Academics to be recommended for "brown bag" sessions to provide information to SIS staff on changes in teaching practice	University Librarian to liaise with committee members	University Librarian to liaise with academic committee members
Action 2.	Support for copyright legislation modernisation.	University Librarian	University Librarian to prepare submission when appropriate
Action 3.	Prepare a paper for Academic Board/Council to explore possible funding allocation for the collection.	University Librarian	Annual report on AB agenda 2/2021.
Action 4.	Committee to confirm to colleagues that flood replacement items need by members of the community will be prioritised for acquisition.	All committee members and University Librarian	Included in next flood newsletter. Completed

Part 2

Item 4 - Scholarly Information Services Annual report 2020

Purpose

Decemmendation(s)

To report on of initiatives of the Scholarly Information Services Division in 2020, particularly around support for education during remote education due to COVID-19.

Recommendation	5)					
That Committee:						
1. Note the report						
ACTION REQUIRE	D					
For discussion ⊠	For decision \square	For information \square	For College Response			

Executive Summary of Issues

During 2020 the Library, Archives and Press reformed and reinvented services to better meet the needs of the university through disasters of bushfires, hailstorms and COVID-19. In addition, a fire affected archive storage and major activities on privacy, data storage and records provided new capability.

Alignment with ANU Strategic Plan and ANU Academic Plan

The services that are provided are essential elements for ANU's commitment to research "excellence of our research, which will be international in scope and quality" and "excellence of our undergraduate and graduate education: excellence in student cohort, excellence in teaching, excellence in student experience" (Strategic Plan 2017–2021, p. 4)

Background

Libraries, archives and scholarly publishing stand at the heart of a university and its mission. In a university committed to excellence, collections and services are key to delivering an exceptional education experience; providing a knowledge base for world class research; and achieving international viability and impact for the scholarly outputs of the university community.

2020 was a year like no other. The challenges created by disasters required new and innovative approaches in order to connect the community to knowledge at times when the campus was not accessible, and in subsequent months. Staff in the Scholarly Information Services Division rose to meet the community's needs with passion and care.

In summary:

- Quarter 1 bushfires, smoke, hailstorm and COVID-19 key initiatives were scaling
 up the digital collection including collaboration with many publishers; commencement
 of new online teaching for digital literacy and collaboration with archive depositors
 including a visit from the a delegation from the Maritime Industry Australia Limited
 (MIAL)
- Quarter 2 moving to support remote learning and study and reaching the world key initiatives were new spaces for academics to record lectures, a major skills development program on line for all divisional staff, opening up access to around 2,700 ANU works through an international partnership with HathiTrust and coordinating a report on library services including openly accessible digital collections of International Alliance of Research University libraries. All libraries reopened on 2 June, note that the JB Chifley Library remained open 24x7 during the remote study and work period with weekly collection retrieval for clients from closed libraries

- Quarter 3 maturing services to support remote learning and study, and reaching the world – key initiatives included the chatbot, an increase in loans to 100 per client, the "click and collect" service and an MOU with AIATSIS
- Quarter 4 digital transformation reaches new levels to support education and research – key initiatives were the launch of the ANU COVID-19 Digital Archive, launch of ANU Data Commons, completion of conversion of the WK Hancock Library basement to study space, launch of the new Open Access Policy Suite and publications of a report for the Council of Australian University Librarians on the university sector and copyright.

Highlights:

- More than 5.4 million downloads of ANU Press publications (all are openly accessible)
- More than 32,000 items lost in the 2018 flood (JB Chifley Library) have been replaced so far and are available for use.

Sponsor:

University Librarian

Author:

University Librarian and Associate Directors Libraries and Archives

2020 SIS annual report

Item 5 - Library spaces and storage

Purpose

To update the committee on space and storage issues

Recommendation(s)

That Committee note the report	
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ACTION REQUIRED

For discussion oximes For decision oximes For information oximes For College Response oximes

Background

Library space including storage and service/study space has been assessed as inadequate to meet the university's need for a decade.

The ANU Library and archive collections are of national and international significance drawing researchers from around the globe in addition to supporting research and education at the university.

The consequence is:

- Loss of around \$400k of collection material every 2 years due to water and other damaged in addition to the flood of 2018 where approximately 300k (\$35m insurance claim) of collection was lost
- The need to dispose of around 30,000 to 60,000 volumes a year as we cannot accommodate them in current library facilities
- Bequests for funding for the collection will likely be refused as we cannot accommodate the physical collections endowed either with funding for purchase or with rare collection material
- Consequent poor service to clients when material cannot be supplied significant funding
 has to be spent on purchasing physical replacements (less than 1% of the current collection
 is available digitally)
- Limited ability to build research archives for future researchers due to space constraints
- Risk of further damage to collection and consequently failure to support research, education and the international value of the university.

The recent fire outside DA Brown where approximately 4kms of archives are held and the extent of disposal are having a significant impact on the ANU community.

Sponsor and author:

University Librarian

MEMO

SUBJECT: Library and archive space – new library and storage – urgent need

TO: LAC

FROM: Roxanne Missingham, University Librarian

DATE: 17 February 2021

Executive Summary

Library space including storage and service/study space has been assessed as inadequate to meet the university's need for a decade. The many reports have gone through the committees including CPC and been agreed in principle with no progress.

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Current state

Library and archive collections are of significance. The archive collection (value 2019 - \$19,707,200) and rare book collection (value 2019 - \$13,371,000) are all currently stored in vulnerable spaces. The archive includes the national archive of trade union (labour) and companies (business) dating from the 1820s, the national HIV/AIDS collection, Pacific Research Archives, as well as the archives of the ANU itself. Its contents are irreplaceable and less than .01% has been digitised. Archives of the Australian Agricultural Company 1824-1995, Minute Books of pre-Federation Trade Unions, and collections of Professor Frank Fenner are listed on the Australian Memory of the World register. The Library includes material published back to the 1400s including titles that are not held elsewhere in Australia and are rare.

A summary of the spaces and risks is attached (Attachment 1)



Hume Print repository part of the collection—video is here https://www.youtube.com/watch?v=WTtJvIP8dfs



Underhill storage – video is here https://www.youtube.com/watch?v=qSt24hNwDos&t=18s

Digital options

To answer the question why do we not just throw the whole collection away as "everything is on the Internet"?

• Archives.

- None of the works are available online from other organisations as they are all unique materials
- A digitisation program commenced approximately 5 years ago leading to digitisation of around 0.01% of the Archives
- To digitise the whole collection would conservatively be of the order of \$150m not including the costs of storage and preservation of the digital copy, metadata to make the digitised copy usable.

- Most of the archives would not be destroyed post-digitisation as they have intrinsic value and the ANU has obligations to donors and depositors although they could be stored more compactly.
- Even with increasing creation of digital records, there is a long tail of physical archives. For example, a donation of records received in 2020 from friendly society Foresters Financial/The Ancient Order of Foresters consists of hard copy records from the 1860s to the 1980s.

Library

- The print collection has been converted to digital as far as possible
- The collection is primarily back sets prior to online versions and books older than 15 years
- Very few backsets have been converted by the publisher (rights holder) to digital although when that occurs, for example Chemical Abstracts, that option has been taken if affordable. There has been some academic concerns that digital copies are not as effective in learning, particular art material;
- The flood replacement exercise has demonstrated how little is available digitally of the more than 32,000 titles lost in the flood that have been replaced less than 1000 have been able to be replaced with digital copies less than 3% (i.e. 97% cannot be acquired in digital form). The titles that were lost are the more current as older material is held at Hume

Donations and bequests

Currently the Library receives a number of donations and bequests of physical material.

Major recent bequests are the William Stenhouse bequest of around \$80k for print art books. A shortly to be completed bequest and donation will see works on I Ching (print) donated together with a bequest of \$100k.

Academics and others regularly donate print copies for teaching and research – the majority are not available as print and are carefully assessed. The titles that are added to the collection are essential and place pressure on the library as material needs to be disposed of to make space for this material

Space constraints currently limit the Archives ability to develop research collections for current and future research benefit. The archives has grown at an average of 400 shelf metres per year based on offers arising from ANU's reputation as a significant research archive. The Archives manages space and timing of acquisitions carefully. Seeking new collections of research interest is, by necessity, minimal. Examples of significant and sizeable recent or current donations of papers are Professor Des Ball (defence and security expert), Australian Natives Association (friendly society), Bill Bowtell (public health policy adviser), Gareth Evans (former Chancellor, international and public policy expert), Professor Mathew Spriggs (archaeologist of the Pacific and Southeast Asia).

Costs of current solution

Costs of the current management and administrative arrangements are unreasonably high, the rent for the Hume storage facility is approximately \$500k per annum, and staff are required for every location for collection management and services

Student dissatisfaction

Surveys of students have identified that the space available within the libraries fail to meet student needs. Regularly (not including 2020) students complain that they cannot find a space to study as all spaces are occupied. The physical use of the libraries is growing and the facilities have not increased sufficiently to meet that need.

The surveys that have recorded the strong concerns include:

- Insync survey 2012
- Insync survey 2014
- LibQual 2016
- Insync survey 2018

University processes thus far

A wide range of reports have been commissioned. Including the survey and storage reports there have been 12 reports over more than a decade.

Recent Campus Planning Committee considerations include:

- 7 May 2018 storage / archives was noted in the paper.
- 2 July 2018 a paper following the floods including broad information only includes discussion of archives and storage being necessary in light of the floods
- 13 September 2018- detailed paper included consultation and Turnberry report. CPC progressing in principle
- 19 November 2018 paper sought for approval for commissioning design/reports for storage and that was approved (resulted in Hames Sharley study)
- 12 March 2019 the paper discussed design competition criteria for a new library and CPC agreed to a limited call for designs.

Consultation – application for other collections

At least every 9 months for the past 5 years a report has been provided to the COO on the results of discussions with other collections at the university.

In summary:

- Other collections do not need the study spaces required for the library
- The research store is for paper materials and modest cold storage for film based material
- Strong interest has been expressed by the map collection and that has been included in the planning so far
- Other collections have recorded that they do not wish to be part of this as they have separate needs and are addressing these independently.

Options

Do nothing – reduced student experience, failure to support education and research, consequence will need to dispose of around 45,000 titles a year, refuse bequests and the role of the university in terms of national an international strength fails to be met.

Model ANU's approach on a TAFE or pretertiary organisation. Reduce collection and collection building and storage. Same risks – but increased disposal of collection. Interlibrary loan costs will rise dramatically and academic and student dissatisfaction will also increase significantly.

Model of a research university – secure adequate storage for library and archives that form part of the research infrastructure— requires decision and development from the HamesSharley costed options for storage and a plan for a new library.

Attachment 1. Storage

Location	Holds	Risks and notes
Hume Print Repository	Majority of the print library collection (approx. 40 kms) and some records Collection contents go back to early 1800s (serials cannot fit into Rare Books storage area in Menzies)	Roof leaked heavily for most of its existence Large temperature variations leading to deterioration of paper and collection damage.
	All shelves have been full for the last 5 years – can only add material by destroying older material	Major risk loss of collection failing requirements of access to resources for education and research
Underhill	Majority of Archive collection, approximately 20kms.	Minor leaks in particular form near lift
	Material also goes back to early 1800s and includes material that is on the UNESCO Australian Memory of the World register Very limited capacity for growth of collection unless top level is converted – could accommodate 4kms archives	Risk from drainage down access road Major risk loss of collection failing requirements of access to resources for education and research
DA Brown	Part of Archive collection, approximately 4kms. Material also goes back to early 1800s and includes material that is on the UNESCO Australian Memory of the World register No capacity for growth of collection	Fire outside building in December 2020 has meant limited access for the medium future . Extensive smoke entered the building Temperature variations of concern re collection damage. Building is in poor state of repair. Major risk loss of collection failing requirements of access
		to resources for education and research

RG Menzies Library	Largest store of print library collection on campus in particular Asia Pacific collection.	Major leaks over many years – roof now fixed but major water influx several times a year on basement
	Rare Book collection held in this library.	Minor temperature variations.
	AV Cool, room contains material with vinegar syndrome and much that is irreplacable	Major risk loss of collection failing requirements of access to resources for education and research
	Collection contents go back to 1400s.	
	All shelves have been full for the last 5 years – can only add material by destroying older material	
JB Chifley Library	Print collection on levels 3 and 4	Major leaks – roof awaiting replacement
	Primarily serves CASS and CBE.	Minor temperature variations.
	Only room for new titles is flood replacement (and many will need to go to Hume)	Major risk loss of collection failing requirements of access to resources for education and research
Law Library	Print collection on both levels	Major leaks – roof awaiting replacement
	Primarily serves CoL.	Minor temperature variations.
	All shelves have been full for the last 5 years – can only add material by destroying older material	Major risk loss of collection failing requirements of access to resources for education and research
WK Hancock Library	Print collection on two levels	Minor temperature variations.
	Primarily serves CoS. Major reduction in shelving to open up basement as study area	Major risk loss of collection failing requirements of access to resources for education and research

	All shelves have been full for the last 5 years – can only add material by destroying older material	
Art & Music Library	Print collection on the one level	Water leak from facilities on level 1 when taps left running overnight.
	Primarily serves the Schools of Art & Design and Music.	Minor temperature variations.
	All shelves have been full for the last 5 years – can only add material by destroying older material	Major risk loss of collection failing requirements of access to resources for education and research

Attachment 2

Relevant Reports for new library and research store

Value of current storage at Hume and options (4 reports from 2008 to 2014)

Student experience external surveys: 2012 (Insync) 2014 (insync), 2016 (LibQual), 2018 (Insync)

Student feedback through university wide feedback mechanisms.

The Hornery Institute 2013. Data included design workshops with students, academics and library staff

Kambri masterplan 2014

Turnberry report on "The Library of the Future 2018

HamesSharey Report on Library storage including design and costings 2020

Item 6-SIS Digitisation planning 2021

Purpose

To inform the committee of Digitisation planning for 2021.

Recommendation(s)

That Committee:

- 1. Note the report
- 2. Discuss any issues

ACTION REQUIRED

For discussion □ For information □ For College Response □

Author:

Erin Gallant, Manager, Open Scholarship

Sponsor:

University Librarian

Attachment: Digitisation plan 2021

Item 7-SIS Business planning 2021

Purpose

To inform the committee of SIS planning for 2021 and seek input.

Recommend	lation(S)
		. – ,

That Committee:

- 3. Note the report
- 4. Discuss any issues

ACTION REQUIRED

For discussion oximes For decision oximes For information oximes For College Response oximes

Introduction

Our Service Goals

- 1. People find our services easy to use, connected and responsive
- 2. People delivering services are empowered, accountable, expert and valued
- 3. Services and systems will be user centric, data driven, simple and deliver value for money

A "plan on a page" has been developed for 2021 (see attachment A).

The planning day enabled consultation with the community and identified a number of projects (see attachment B):

- 1. Student experience: scoping exercise, including IARU, feedback e.g. Insync,
- 2. Digitisation plan to be agreed March
- 3. Data governance ongoing project
- 2. Workforce planning and development of capabilities
- 3. Library space incl. storage
- 4. SIS online (projects)
- 5. Open access
- 6. Indigenous collections and services
- 7. Impact and engagement
- 8. Review of Academic skills and digital capabilities needs (incl. graduate attributes)

Author:

University Librarian

CURRENT STATE

From:....knowledge aware

DESIRED FUTURE STATE

To:....knowledge activated university inspiring scholarship world wide

CRITICAL SUCCESS FACTORS. How	will we deliver on our future state		QUARTERS	LEADS	PARTNERS
					_
1. Collection – activated knowledge infrastructure					F&S
	leal with damage/storage - fire, hailstorn	n and other disasters			Academics
- Progress plan for storage s					
	leasure through use and feedback (Press	, Digital scholarship, archives, library)			
- Flood replacement collect	•				
2. Services – for a knowledg			Report Q2		AQAC
	del including Library, Academic Skills, Ar	chives, Digital scholarship through			ADEs
holistic program.		Later of the second	Model Q3		CLT
	r scholarly, digital and information litera	cy capabilities through a review			LAC
- Review library hours and		and the LAC west to a			
•	ce from feedback program including Insy	nc survey, regular LAC meetings,			
PARSA/ANUSA					
	arning spaces (working with ITS and F&S)		04/2		Callana
3. Research support	de code al a afronsionamite a america mandal (Dis	tata a N	Q1/2		Colleges
	th whole of university service model (Div	•	Q3		
	national impact of ANU research throug	_			
· ·	g and success through OA program of act	ivities	Q4		ITC
4. University operation	ractices and offectiveness (CharaDaint FI	NAC integration \ P. ANIAO Audit findings	Q4		ITS CGRO
	ractices and effectiveness (SharePoint EF staff and provide specialist advice in FO				CGRO
	· · · · · · · · · · · · · · · · · · ·	i, Frivacy, copyright and record keeping			PPM
5. Developing a culture of professional excellence-Develop skills and knowledge through Division's development plan				ITS	
- Data governance transfo		111			113
SERVICE INDICATORS	Jimeu	WHAT THIS INDICATOR WILL ASSESS			
See separate document		WHAT THIS INDICATOR WILL ASSESS			
BUDGET	\$25,931,055 (incl. flood repl.)	STAFFING	86.8		
DODGEI	323,331,033 (IIICI. 1100α 1eμl.)	JIAFFING	00.0		

SIS No.	Master Objective	Statistic Indicators	Frequency
1	Enhancing through collection and publication/dissemination of knowledge	Progress on Chifley collection replacement no. items and %	quarterly
		Storage plan - design brief	quarterly
		Interim storage solution for 3km archives	First quarter
		Archive collection use - no. archives used	quarterly
		Library collection use	Quarterly
		Repository resources and use	Quarterly
		Press – titles and use	Quarterly
2	Services	Integrated UG support model approved	Q2/3
		Assessment of scholarly, digital and information literacy capabilities needs	TBD
		Proposal on learning space support	
		Review of library hours and service model statement	Q2/3
		Activities to improve service from feedback program including Insync survey, regular LAC meetings, PARSA/ANUSA	quarterly
		Reference enquiries	quarterly
		Library visitors	quarterly
3	Research support	Integrate Carto GIS through whole of university service model (Division) including relationship to new Centre	quarterly
		Increase knowledge of academic community and success with OA (program of activities)- program delivered	quarterly
		Digitisation program delivered	quarterly
		Digital learning - participation	quarterly
4	Improve university effectiveness	Sharepoint ERMS integration)	Q3?
		Provide training, briefings and specialist advice in FOI, Privacy, copyright and record keeping to ANU staff	quarterly
5	Developing a culture of professional excellence	Divisional program details	quarterly
		Data governance transformed	quarterly

Attachment B.

SUBJECT SIS planning day 2021

TO Planning Day attendees, COO

FROM Roxanne Missingham, University Librarian

DATE 9 February 2021

11.Introduction

Scholarly Information Services Division planning days are held early in the year to provide an opportunity to understand and connect with the ANU community including students and academics. The opportunity to investigate the needs of the university for the coming year produces a rich array of information for reshaping our activities and services..

2020 gave a complex background as many planned activities had been deferred and services focused on delivering support during the disasters including bushfires, hailstorm and COVID-19.

For 2021, the key contexts were aligning with the ANU Recovery plan and the Service Performance Framework. The budget changes in 2020 have resulted in constrained resources that is expected to continue. We will need to think laterally to reinvent services and address workflow and planning issues— a major area of activity across the division for 2021.

The agenda for the day and notes from the breakout groups are attached.

12. Changing needs

Over the years the student and academic communities have raised a wide range of issues at the planning days. Major issues identified at previous planning days that have resulted in changes to service include:

- 24x7 access to libraries delivered for JB Chifley and WK Hancock libraries
- More spaces for students to study WK Hancock Basement converted to study space (October 2020)
- Greater support for students especially in relation to mental health contributions included collection colouring books, activities for the stressless weeks
- Open textbooks working with academics in relation to needs for individual courses (work in progress)
- Rethinking spaces to support evolving needs work in progress
- More online support experimentation with Zoom for reference and group collaboration
- Understanding student experiences and needs project commenced but paused due to COVID-19
- Partnerships to better support research impact continuing work, sessions run on getting published in 2017 and 2017 including advice on avoiding predatory publishers.

13. What we heard in 2021: the Common Themes

1. Complexity

For students 2020 brought significant change to student life and experience including the study, work and connection to others. Three foundational changes were the inability to connect with the university through face to face participation in education, relationship with peers limited

through remote learning and the reduction in availability of employment focusing their experience on new opportunities to consider careers.

The move to online education both at the university and in the educational experiences for those students who are entering university from school from 2021 on has created new expectations and capabilities for learning. While the importance of face to face engagement with peers and educators is desired, students are likely to expect more and higher quality online learning.

Creating an environment that recognises the new expectations will require the library, archives, academic skills/digital literacy and press to evolve services and collection access to reach the community in a new ways.

For academics, 2020 saw the pressure of the rapid conversion to online learning that brought a spirit of collaboration which was successful due to new partnerships between digital educators, academics and SIS staff. Increased collaboration with educators has enabled both parties to develop knowledge about digital resources and the potential for use of openly accessible material such as Open Education Resources (OERS).

Developing both the collection and our engagement to create sustainable high quality support will required innovative solutions and collaboration to achieve a maturing of the online offerings.

2. Online education

Taking education online in 2020 used the existing tools and skill sets. Staff in the division similarly adapted to using Zoom, Teams and the enterprise tools available. As the academics noted, students were able to use the tools effectively and displayed appreciation of the work of educators, however expectations for greater sophistication are anticipated for the future.

For the division, understanding how these new tools and capabilities will be developed is essential to provide information and knowledge that can contribute to key developments in digital education offered by the university.

3. Research engagement and impact

While the focus of 2020 was on education, supporting research is critical to enabling the university to achieve the impact and engagement essential for a world class university. The rapidly evolving issue of metrics is an area where insights from knowledge developed from expertise in the scholarly publishing world can contribute to the universities assessment processes and build the capabilities of academics.

Taking the next steps with Open access and contributing to data governance frameworks and practices including records, privacy and copyright will assist Academic Board and the university mature to better practice and address issues including those raised through the ANAO review.

4. Connecting services and collaboration

ANUSA and PARSA emphasised their commitment to work with the division and expressed their appreciation of the partnership. A renewal of collaboration to support students in need and promote the university experience through O-week are timely areas for immediate collaboration to reengerise this relationship.

Connecting services across the Administration portfolio was seen as an opportunity to both contribute to the Services Portfolio Framework and deliver benefits for clients, This was woven into the thinking of the breakout groups.

5. Putting the national into ANU

ANU is truly a university for the nation – contributing to national policy, research that has enabled Australia to contribute to the region and to lead developments across the world.

Exploring the nature of "national" opened up thoughts on the nature of the nationally (and internationally) significant collections, how our knowledge can assist the university's research and publications to be visible to the nation as well as the importance of digitisation to unleash the nations memory held in the archives and library collections.

6. <u>Digital transformation is more than Zoom recordings and online PDFs</u>

As a final note, the importance of collaboration with academics to digitally transform the university highlighted that the process must go beyond creating digital equivalents of print or recording training sessions using at existing tools. The future requires deep thinking about the pedagogy of education, research and indeed scholarly communication. Our evolution as a university service will be successful when we understand and weave purpose and assessment of outcomes into our approach to services, taking a holistic approach rather than focusing on any particular system.

14. Moving Forward

A number of possible projects were identified for further consideration including:

- A deep dive into student experience and applying a lens to our delivery services to understand how they can wrap around students rather than focus on diverse service areas
- Data governance work to support the consideration of Academic Board and genuinely take forward the maturity of the organisation, in particular working with PPM, ITS and the CISO
- Workforce planning and development of capabilities to meet the needs of the future university and SIS, unpacking needs for the future, current capabilities and a program for development of the workforce
- Spaces particularly storage. Library spaces reflect the limitations of the current buildings
 and storage in particular fails to manage the university's collections successfully. Between
 fires, floods, other natural disasters and inadequate space the community is poorly served
 and collections are consistently damaged, reducing the knowledge base for education and
 research
- SIS online digitisation, discovery services, communications with clients, digital products (such as videos) and digital tools were identified as needing urgent review both from the perspective of the digital plan for the university and research into needs
- Open access collaboratively building the capabilities of the university, increasing the resources available while further establishing the national leadership is a gap in our current programs
- Indigenous collections and services including collaboration with others such as AIATSIS and NLA to open up access to our history through collections is vital as is engaging to contribute to the university's first nations program
- Impact and engagement increasing the reach and power for transformation of ANU scholarship
- Teaching partnering with academics and digital educations to achieve greater innovation and teaching success.



Scholarly Information Services

Planning Day

"A knowledge activated university"

When? Wednesday 3 February

Where? Graneek Room, JB Chifley Library

- I. To assist in planning work for 2021 to meet the university's objectives
- II. To hear from students, academics and the community about their needs and experiences
- III. To contribute to ANU's recovery from 2020

To build the SIS leadership team

15.Time	16.Topic	17.Presenter/lead	18.Actions
9.15 – 9.30 am	Welcome	Roxanne Missingham	
9.30-10.00 am	Academic Board and ANU governance	Prof Joan Leach, Chair Academic Board	
10.00 – 10.30 am	Team exercise		Getting to know you
10.30-11 am	Morning tea		
11.00-12 noon	Teaching in a	Prof John Close, COS	
	time of change: panel	Prof Wayne Morgan, COL	
		A Prof Keturah Whitford, CBE	
12-12.30pm	Student	Madhumitha Janagaraja,	
	perspectives	ANUSA President	
		Eve Walker, PARSA President	
12.30-1.30pm	Lunch		
1.30-2.15 pm	Workshop		Brainstorming 2021
			opportunities
2.15-2.45 pm	New beginnings	Paul Duldig, COO	
2.45-3.30pm	Wrap up		Translating ideas into action

Attendees:

Roxanne Missingham, University Librarian

Heather Jenks, Associate Director, Libraries

Kathryn Dan, Associate Director Archives and Records

Patrick Byrnes, SIS Communications

Emily Tinker, ANU Press

Alex Caughey Hutt, Senior Privacy Officer

Erin Gallant, Digital Scholarship

Adrian Burton, ARDC

Tom Foley, Senior Manager, Client Services

Katie Ferguson, Senior Manager, Collection Access & Discovery

Luciana Panei, Flood Replacement Project

Thuy Do, Academic Skills

Cathy Burton, Info Access & Collection Management Coordinator (Hancock)

Anne Newton, Information Access Coordinator (Law)

Rebecca Barber, Information Access & Training Coordinator (JB Chifley)

Vanessa Galloway, Library User Services Coordinator

Amanda Valent, Team Leader Document Supply

Sarah Lethbridge, Senior Archivist

David Gobbitt, Records & FOI

Rob Carruthers, Senior Facilities Officer

Zoom (for presenters unable to attend):

https://anu.zoom.us/j/86234857560?pwd=TmhBNzV1cmhXNnlOemYyUW9BZ3JOUT09

Workshop session notes

Group 1

19. Priorities

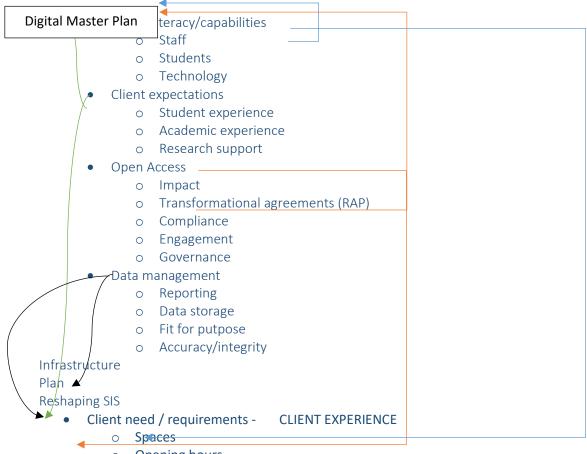
- Student experience/engagement
 - o Difficult due to COVID related issues
 - Highlighting library resources/archives
 - o Focus on HDR students
 - Workshops?
- Supporting research more
 - Assist students/staff, external users
 - Are existing resources adequate
- Data governance
 - See project section below
- Spaces and storage audit?
 - o People
 - o Collections
- Meeting teaching needs
- Digital future to support staff/students
 - Learning platforms
- Better interoperability between units/divisions
- Staffing better recognition of staff, particularly following COVID

20. Potential Projects

- 1. Student experience improvements
 - a. Improving communication between areas regarding student experience
 - b. Gaps in research engagement toolkits
 - c. Supporting research impact from open research
- 2. Data governance for internal staff/students
 - i. Improve understanding and practice (privacy, FOI, records, information management)
 - ii. ERMS awareness and uptake
 - a. Governance overall what do we do and how
 - i. Data management we do it? Reduced budget factor
- 3. Better information relevance/updates e.g. library website
 - a. Making of other areas aware of library services
 - b. Engagement with students?
- 4. User experience find out and improve
 - a. More accessibility and user friendly
- 5. Indigenous engagement
 - a. Audit collection to understand what is held
 - b. Greater accessibility of existing resources

Group 2

1. Service Performance Framework



- Opening hours
- Online support
- o Home Based Work
- Service review
- Workforce planning
- Developing methods to evaluate transformative agreements

Promotion

Data Governance

- Increased awareness / engagement with privacy
- Content management system

Impact and engagement metrics

- ANU publications / objects / outputs
- Division SIS
- OA and DU

OPEN ACCESS

Group 3

5 Priorities for 2021

- I. Continue to promote to the ANU community the availability of free publisher resources and OPEN ACCESS e.g. update COVID-19 LibGuide
- II. Reimagine space and services in library buildings
 - i. Virtual and face-to-face e.g. consults time sensitive
 - ii. What do students want reaching stedents?
- III. ANU staff skills do we have them / what are they? / H
- IV. Knowing when to reach out and who to reach out to in our new structure
- V. What should we stop doing?

Projects

- 1. Collaborative project with young peopleon how to uyse the archivesviz video training/seminar
- 2. Digital transformation and discovery incl. collection digitisation
- 3. Audit of requried skillsvs skills we already have

Projects in summary

- 1. Student experience: scoping exercise, including IARU, feedback e.g. Insync, Library led
- 2. Digitisation plan to be agreed March Digital scholarship led
- 3. Data governance ongoing project UL led
- 4. Workforce planning and development of capabilities

Tbd – talk to HR

5. Library space incl. storage SIS Exec

- 6. SIS online
 - LibStaffer
 - Sydney Stock Excehange
 - Proposal about reading list software with TIC
 - Desktop refresh
 - ANU digital roadmap
 - Sharepoint ERMS
 - Other projects as required
- 7. Open access
 Digital scholarship and UL led
- 8. Indigenous collections and services

University Archivist led

9. Impact and engagement

UL led

10. Review of Academic skills and digtial capabilities needs (incl.graduate attributes) UL/ AD Libraries

Part 2

Item 8- Progress report on replacement of titles lost in the 2018 flood

Purpose

To provide the Committee with a report on progress in replacing titles lost in the 2018 flood.

Recommendation(s) That Committee note the report ACTION REQUIRED For discussion □ For decision □ For information ⋈ For College Response □ Executive Summary of Issues XXX

Author:

Manager, Floor Replacement Project

Part 2			
Item 9 – Library repo	rt		
Purpose To report on ANU Lib	rary activities from N	March to September 202	20.
Recommendation(s)			
That Committee note	the report		
ACTION REQUIRED	For decision □	For information ⊠	For College Response

Executive Summary of Issues

ANU Library Advisory Committee 1/2021

Author:

Associate Director, Libraries

ANU Library Advisory Committee 1/2021
Part 2
Item 10 – ANU Archives report
Purpose To report on recent archives activities.
Recommendation(s)
That Committee note the report
ACTION REQUIRED

For information ⊠

For College Response \square

Executive Summary of Issues

For decision □

For discussion \square

ANU Library Advisory Commi	ttee 1/2021			
Part 2				
Item 11 – Digitisation report	i			
Purpose To report on recent digitisatio Recommendation(s)	n activities.			
That Committee note the repo	ort			
ACTION REQUIRED For discussion □ For dec	cision 🗆	For information ⊠	For College Response □	
Executive Summary of Issues Digitisation continues to reveal treasures and strengths in the collection.				
In semester 1 a greater number of titles were digitised for educational use than before.				
Digitisation progress highlights				
XXX				
Author-				

Erin Gallant, Manager, Open Scholarship

Sponsor: University Librarian

ANU	Library	Advisory	Committee	1/2021
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Part 3

Item 12 – Any other business

Purpose
To seek any other business.

Recommendation(s)

recommendations	7		
That Committee:			
1. Raise any oth	ner matters for discus	ssion	
ACTION REQUIRED			
For discussion ⊠	For decision □	For information \square	For College Response □

Part 1. Open Access (OA) in Australia 2020: a summary

Roxanne Missingham, University Librarian 6 December 2020

21.Introduction

Australia's experience of OA in2020 to a great degree reflected that of the world. COVID-19 was the most significant factor in changing practice to open up access, albeit it for limited periods. The major decrease in university budgets has led to changes in publisher behaviour. There has been an increase in "publish and read" deals under which libraries by access for their institution that includes addition funding to allow for researchers in that institution to publishing without paying an additional article publishing charge.

Overall policy remained static, as did funder requirements of OA.

This paper provides a summary of developments in Australian in relation to OA in 2020 and notes factors influencing the landscape for 2021.

22. National Policy

Currently Australia does not have a federal government overarching policy on OA. While there has been advocacy for some years there is not an economic model of costs nor a natural policy leader.

The major federal research funders, the Australian Research Council (ARC) and National Health and Medical Research Council open access policies, originally released in 2012 and 2013 respectively, were updated in 2017. The latter did not refer to monographs, both recommended OA of publications from their funded research unless a reason can be articulated. ARC states "Final Reports must provide reasons why Research Outputs derived from a Project, Award, or Fellowship have not been made openly accessible within the twelve (12) month period". Members will be aware that the ARC has been checking final reports andreturning for revision those which do not indicate which outputs are OA and do not have reasons why outputs were not made available via OA.

The Council of Australian University Libraries (CAUL) Advancing Open Scholarship (FAIR) program has resulted in advocacy for OA. In late 2019, it issued "Project plan: Roadmap to Plan S for Australia Project Initiation and a report: Roadmap to Plan S for Australia.

The F.A.I.R. steering committee, led by CAUL and supported by CAUL and the Australasian Open Access Strategy Group, has held a number of meetings and events this year. The most recent were:

- International perspectives on developing a national open research strategy where where heard from Dr Pirjo-Leena Forsström (IT Centre for Science, Finland), Dr Patricia Clarke (HRB Open Research, Ireland) and Professor Noorsaadah Abd. Rahman (University of Malaya)
- Australian perspectives on developing a national open research strategy where we heard from Dr Cathy Foley, Chief Scientist, CSIRO and Australia's next Chief Scientist, Professor Robyn Owens, Emeritus Professor, and former DVCR UWA Ryan Winn, Chief Executive Officer, Australian Council of Learned Academies

Recordings are available online

The committee includes funders, government, Universities Australia, libraries and researcher support (ARMS). The major issues are encouraging a national policy and funding model of gold OA journal articles. Monographs are generally out of scope for the Committee's discussions.

At ANU, 2020 saw major policy development with endorsement of a new open access policy with three procedures by Academic Board, signed by the Vice Chancellor in the third quarter of the year (https://anulib.anu.edu.au/news-events/news/anu-launches-new-open-access-policy).

23. Publishers

Publisher response to COVID-19 has been rapid and helpful. Most publishers across the world have made the research outputs of COVID-19 research available, generally for a fixed time period to assist in access during the pandemic. Very few publishers have made a commitment to long term OA solutions for these publications. The importance of long term OA and OA as a policy issues has been linked to COVID-19 in blogs, reports and seminars/conferences. The Australian Academic of Science published a blog by Ginny Barbour and Martin Borchert "Why is openly accessible science so important?" https://www.science.org.au/curious/policy-features/open-science-after-covid-19-pandemic-there-can-be-no-return-closed-working.

Australia has a very small publishing industry. In terms of university presses University of Technology Press remains fully OA and Monash University Press celebrated its 10th anniversary, primarily publishes non OA titles. While a survey four years ago found around a third of university libraries were publishing at least one OA journal, the pressure of budgets and impact has led to a decrease in OA titles published.

Overall the trend in university publishing to reduce OA titles and indeed to reduce new titles has been influenced by budget reductions.

There have been some significant changes in approaches to Article Processing Charges (APCs).

Nature has changed its charges and approach to OA publishing. From January 2021, authors submitting to Nature and the Nature research journals will be able to choose to publish their primary research using either the traditional publishing route or immediate gold OA with an APC of €9500. These journals are making an active commitment to open research: they will increase the number of articles each year published OA, with the eventual goal of becoming fully OA. They are also introducing an approach that will see different fees for some journals. It is trialling the approach with Nature Physics, Nature Genetics and Nature Methods. Under the scheme, called guided OA, authors submit manuscripts and — if they pass a suitability screen — pay a non-refundable fee of €2,190 to cover an editorial assessment and the peer-review process. In return, they get a review document, which the publisher says includes more detailed editorial evaluation than typical review reports, and they are told which Springer Nature title their work is recommended for.

24. University library purchasing

"Publish and read" agreements have been prioritised by CAUL in negotiations. These agreements allow for subscriptions that provide access to content by subscribing universities ("read") and also include funding to cover article publishing charges for academics in the institution ("publish").

The first CAUL ANZ agreement was with the Microbiology Society in October 2019. There are now 7 agreements with the most recent being Karger, the Royal Society and the Geological Society of London.

25. University and researcher rankings

Excellence in Research Australia, produced by the ARC, is Australia's national research evaluation system. Four evaluations have occurred so far.

Consultation on the next ERA has commenced with a paper released in August and public submissions due by 12 October, https://www.arc.gov.au/news-publications/media/network-messages/now-open-public-consultation-era-ei-review. The review "aims to continually improve the robustness and suitability of ERA and EI as a measure of the quality of Australia's research and its impact beyond academia".

A number of reports will also be considered in the review including the House of Representatives Review of Australian Government Funding Arrangements for non-NHMRC Research (2018), which recommended that the frequency of ERA and EI be altered and their processes streamlined to reduce burden on universities; the Coaldrake Review of Higher Education Provider Category Standards (2018-2019) which recommended changes to the benchmarking of research quality in the Higher Education Provider Category Standards and the Australian and New Zealand Standard Research Classification (ANZSRC) Review (2020) which updated the Fields of Research codes that are used to define disciplines in ERA and EI.

The methodology used in previous reviews had included data from SCOPUS (Elsevier) for the first three assessments and data from Clarivate Anayltics for the 2018 assessment. Citation counting has been a significant measure for ERA.

26. Setting the scene for 2021

Dr Cathy Foley takes up the position of Chief Scientist in January. She has been an interested member of the F.A.I.R. group and is well informed. Whether she will pursue policy initiatives in this areas as a part of her agenda is not yet clear. She brings from CSIRO an understanding of the costs of publishing and an awareness that any changes would need to be financially sustainable. This has generally been interpreted in the past as requiring the market to fund publishing.

ERA will be focus of much of the work of the ARC and universities in the coming 12 months. As the scope and methods develop, it is likely that discipline sensitivity will increase. Many, including the ANU Vice Chancellor, have been vocal about the failure of traditional ranking and evaluation systems to recognise the contribution in areas which do not have a journal publishing and citation culture. Either Elsevier (Scopus) or Clarivate are likely to be the source of data for the citation assessment. ANU Press is not represented in either dataset at present.

2021 is like to remain one with reduced budgets and pressures on funding. Publishers have generally sought to reduce costs to accommodate reduced income. Most have agreed to 0% increases to libraries. Many libraries around the world have been cancelling subscriptions.

Researcher expectations are an area of change. The increasing requirement of OA publications from funders mean that researchers are seeking streamlined workflows to enable OA with minimal funding. The backlash from publishers and some librarians against preprints and author accepted manuscripts if successful would change repositories to publisher only versions and increase revenue to publishers.

A new government initiative may offer a new opportunity. The Federal Government has investment of \$8.9 million over the next three years (2020–21 to 2022–23) to help build a national HASS and Indigenous eResearch infrastructure, creating new tools and platforms to extend researcher

capacity. The research infrastructure will provide HASS and Indigenous researchers with easier access to data and analysis methods, enabling effective data mining and re-use and maximising the return on previous research investments. It will enhance research in a broad range of fields including education, Australian cultural studies, linguistics, history, economics, commerce, tourism, law and legal studies, and the creative arts. The investment will help institutions share HASS data more freely and cooperatively following FAIR data principles. ARDC will be managing the program

The debate between Gold and Green OA is likely to increase in the coming year. Diversity of publishing models including both forms of OA have been encouraged in the past. Advocacy for Gold OA appears to be rising.

Authors' right retention and copyright are likely to be an area of discussion. The Department of Infrastructure, Transport, Regional Development and Communication issued a discussion paper on copyright reform, identifying that an exposure draft will be released shortly, most likely to be in early 2021. While the identified areas do not presently include author right retention, as CAUL Copyright Lead and member of the Australian Libraries Copyright Committee I am advocating for discussion in the new year. A survey of Copyright and COVID-19 in CAUL members

(https://www.caul.edu.au/sites/default/files/documents/caul-

doc/caul covid copyright nov2020.pdf) provide the context for a new advocacy program. There are a number of matters where rights holders and universities are not in agreement, for example the Copyright Agency Limited and Universities Australia have a matter before the Copyright Tribunal. There is likely to be an extended consultation process on changes.

Finally there are a number of reports which will be released including a UNESCO statement on Open Science that will provide a basis for further debate.